Factors associated with research productivity in higher education institutions in Africa: a systematic review [version 2; peer review: 2 approved, 1 approved with reservations]

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Abstract
Background: There are low levels of research productivity among Higher Education Institutions (HEIs) in Africa, a situation that is likely to compromise the development agenda of the continent if not addressed. We conducted a systematic literature review to synthesize evidence of the factors associated with research productivity in HEIs in Africa and the researchers’ motives for research.

Methods: We identified 838 publications related to research productivity in HEIs in Africa from various databases, from which we included 28 papers for review. The inclusion criteria were that (i) the paper’s primary focus was on factors associated with research productivity, and motivations of doing research among faculty members in Africa; (ii) the setting was the HEIs in Africa; (iii) the type of publication was peer-reviewed papers and book chapters based on primary or secondary data analysis; and (iv) the language was English or French. Essays, opinions, blogs, editorials, reviews, and commentaries were excluded.

Results: Most of the studies operationalized research productivity as either journal publications or conference proceedings. Both institutional and individual factors are associated with the level of research productivity in HEIs in Africa. Institutional factors include the availability of research funding, level of institutional networking, and the degree of research collaborations, while individual factors include personal motivation, academic qualifications, and research self-efficacy.

Conclusions: Deliberate efforts in HEIs in Africa that addressed both individual and institutional barriers to research productivity are promising. This study recommends that the leadership of HEIs in Africa prioritizes the funding of research to enable researchers to...
contribute to the development agenda of the continent. Moreover, HEIs should build institutional support to research through the provision of research enabling environment, policies and incentives; strengthening of researchers’ capabilities through relevant training courses, mentorship and coaching; and embracing networking and collaboration opportunities.

**Keywords**
Research productivity, factors associated to research, institutional factors, motivations, higher education institutions, Africa

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The updated version of the article mainly includes editorial changes we made based on reviewers' comments. Also, we have added some additional sentences, specifically in the discussion section, to make the study discussion clearer and paragraphs completed.

In the abstract section, we added a phrase to the first "inclusion criteria" to ensure that the criteria read as they are the main text.

In the introduction section, following the recommendation of one of the reviewers, and for the purpose of consistency, we have replaced the phrase “Higher Education Institutions” with its abbreviation “HEIs”. Also, we added a phrase to the first paragraph to make it precise on the role of postgraduate students in research productivities.

Other changes to this section are few editorial changes we made following the reviewers' comments.

In the methodology and results sections, there were very few editorial changes we made following the reviewers' comments and the changes that happened as we read the text.

In the discussion section, we added a sentence and two citations to the first paragraph to bring precision on the implication of the dominance of English language in academic publishing in determining the research productivity in African HEIs. Also, we added a sentence in the second paragraph to bring precision on the link between the researchers' qualification and gender and research productivity. We believe that the sentences we have added will increase understanding on the leaders of the factors we discussed in the paper.

Other changes to this section are very minor, and mostly editorial as they were suggested by the reviewers.

Any further responses from the reviewers can be found at the end of the article

Introduction

There is a close association between research and development, both of which play an essential role in economic growth (Bayarçelik & Taşel, 2012; Blanco et al., 2016). The United Nations, through the Sustainable Development Goals (SDGs), specifically, Target 9.5, have prioritized the enhancement of scientific research, particularly in developing countries (Maiyo, 2015). The HEIs are well-suited to spearhead the realization of the global development agenda through research and innovations and the provision of expertise to guide the process (El-Jardali et al., 2018; The World Bank, 2007). Generally, HEIs contribute to generating innovative ideas to feed the development process (Clegg, 2012). However, in most of the African countries, faculty members are assessed mainly based on the modules/courses they teach and the number of students they supervise, and the post-graduate students are assessed based on the written thesis/dissertation (Kpolovie & Dorgu, 2019).

Similarly, funding for research has remained low in most of the countries in Africa (Saric et al., 2018). A global assessment of the research and development expenditure, as a proportion of the Gross Development Product, reveals that many of the African countries invest less than 1% on research and development, the African Union target (Karimi, 2015; Maiyo, 2015; UNESCO Institute of Statistics, 2018). Also, the number of African researchers was not proportional to the African population. For instance, apart from Morocco, all the other African countries have less than 1000 active researchers per one million inhabitants (UNESCO Institute of Statistics, 2018).

The situation described above suggests that African countries need to re-consider their research agenda taking cognizance of the crucial role played by research in the development agenda (Mwendera et al., 2017), and the contribution of the HEIs to research and knowledge creation (Clegg, 2012). Therefore, there is an urgent need to synthesis evidence on the factors that contribute to research productivity in HEIs in Africa to inform the directions of improving the research landscape within the African region. The purpose of this systematic review is twofold: 1) to determine the factors associated with research productivity in HEIS in Africa; and, 2) to identify what motivates researchers working in HEIs in Africa to do research.

Methods

We conducted a systematic review of publications from 1998 to 2018. The 20 years was selected to capture the changes that happened over the years as well as provide an opportunity to cover current knowledge to inform the development of research in HEIs in Africa. The structure of this article follows the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) (Uwizeye et al., 2021).

Inclusion and exclusion criteria

The selection of papers considered four criteria:

1. Scope of the research: Papers with primary focus on (i) factors associated with research productivity, and (ii) motivations of doing research among faculty members in Africa.
2. The setting: Higher education institutions in Africa.
3. Type of publications: Papers, books, and book chapters produced through the review process, based on primary or secondary data analysis. Essays, opinions, blogs, editorials, reviews, and commentaries were excluded.
4. Language: We targeted publications in English or French.

Searching and selection of the studies

The search for publications involved two approaches:

1. Systematic search through EBSCO host: We selected the leading databases in education hosted in EBSCO Host, namely Education Resources Information Center (ERIC), Education Search Complete, and Academic Search Ultimate, and we activated the advanced search. The search string was the following: Research product* OR research output OR publication* AND Higher education institution* OR tertiary institution* AND Africa*. Search limiters were Scholarly (Peer Reviewed) Journals,
and the Publication dates were January 1998 to December 2018. Source Types were Academic Journals and the subject was limited to higher education. The systematic search was conducted in the last week of March, 2019.

2. Search in other sources: We conducted an additional search in the databases of the journals that occasionally publish education content, namely: Social science citation index, British education index, Web of Science, Scopus, Google Scholar, African Journals Online (AJOL), DOAJ, and EMERALD. The search string was the following: “Factors associated with “research productivity” in higher education institutions in “Africa”. The search in the other sources was done in April, 2019. Examples of the search outputs can be found as extended data (Uwizeye et al., 2021).

We worked in pairs at every stage of the selection process. Any disagreements on whether a study is to be included or excluded, a third member of the review team would read the paper and work with the team to reach a consensus.

Data extraction
We developed a data extraction form to collect data on five primary indicators:

a) Identification of the paper: The study citation, location of the study, participant characteristics, and the source of funding.

b) Methodology: Design of the study, including the type of the study, methods of sampling, and sample size.

c) Concepts: The way the studies had operationalized the concept of research productivity and the definition of research output.

d) Factors associated with research productivity: The tool considered factors that were significantly associated with research productivity (for quantitative studies) or the factors that were found to be most frequently or intensely indicated (for qualitative studies).

e) Motives for generating research products: This aspect aimed to establish the individual researchers’ motivations in conducting research.

Analysis approach
To identify factors associated with research productivity, we first examined a pool of variables identified in the previous studies and grouped them according to their similarities for classification (Box 1). We reviewed the groups, referring to various studies that investigated similar topics, including Bryman (2007); Kpolovie & Onoshagbegbe (2017); Mantikayan & Abdulgani (2018) and Musiige & Maassen (2015), to gain consensus on the category titles and the factors that fall in the various groups. The factors were broadly grouped as either individual-related or institutional-related, as presented in Box 1.

The study used the Critical Appraisal Skills Programme (CASP) tools to assess the methodological quality of the included studies, to describe their quality rather than a basis for inclusion. The CASP screening questions we used are presented in Box 2. The results of the assessment were presented using the Cochrane Review Manager tool (RevMan 5.3), a tool that allows for generating a graphical presentation. CASP offers tools to critically assess the quality, validity and reliability of the published research, to enable researchers to decide whether the evidence in the published work are relevant (Galdas et al., 2015).

The papers included in this review were both quantitative and qualitative. The CASP tool for quantitative and qualitative studies consists of twelve (12) and ten (10) items, respectively, and uses a 3-point response scale: ‘Yes,’ ‘Cannot tell’ or ‘No.’
The search produced 1094 papers including 1036 identified through the systematic search in EBSCO host databases, and 58 new titles added from other sources. We removed duplicates and remained with 838 papers, among which 5 were in French. Titles and abstracts were screened to ensure alignment to the inclusion criteria, and 766 were eliminated from the study, thus leaving 72 eligible papers for further scrutiny which, eventually, were written in English. We downloaded the 72 papers and read their entire texts to assess eligibility in line with the inclusion and exclusion criteria. We eliminated 44 of the publications mainly because the studies were not consistent with our inclusion criteria. We remained with 28 publications which were eventually scientific journal articles. Among these, 22 were quantitative studies, and 6 qualitative or mixed methods with a dominant qualitative approach. Figure 1 indicates the process of searching and identifying the papers.

Table 1 indicates that the selected studies reported from six African countries, with the highest number of papers conducted in South Africa and Nigeria (9, 32%, in each of the two countries), followed by Kenya with seven articles (25%). Other countries were Ethiopia, Uganda, and the United Republic of Tanzania, each with one study. Half (14, 50%) of the articles were conducted in single institutions, while thirteen (13, 46%) were from more than one HEI in a given country. In one study, it was not specified whether the data were collected from one or multiple HEIs.

The study population was mainly academic staff (25, 89%). Other participants included librarians (2, 7%) and postgraduate students (1, 3.5%). Also, purposive was the most frequent sampling technique with 10 studies (36%), followed by the convenient sampling technique (7, 25%) and the stratified random sampling technique (6, 21%). The sample sizes in the studies ranged from eight to 6,763. The average ages of the participants were not reported for most of the studies (22, 79%). However, the average age of the respondents in the eight studies that referred to this variable ranged from 40 to 55 years.

Although the study targeted the period of 20 years, from 1998 to 2018, the studies that fulfilled the inclusion criteria were mainly published in the last ten years of the covered period (25 papers, 89%), from 2008–2018, among which 17 (61%) papers were published within five years (2013–2018). The duration of the studies was not reported in the majority of the publications (21, 75%). However, out of the nine papers that analysed the study duration, five were conducted in less than one year, while the four were conducted in a period of between one and five years.

Most of the studies (24, 86%) did not indicate the source of funding. The four (14%) that mentioned their source of funding reported that funds came from the researchers’ respective HEIs. It was not possible to determine how many of the studies had received ethical approval since only six of the publications referred to ethical clearance. Among those, only two reported having received ethical permission, and four indicated that ethical approval did not apply.

Most of the studies (22, 79%) used quantitative methods, six (6, 21%) used qualitative or mixed methods with a dominant qualitative approach. The majority of the studies used

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**Box 2: CASP screening questions used to assess the methodological quality of the included studies**

<table>
<thead>
<tr>
<th>Critical Appraisal Skills Programme (CASP) screening questions to assess quantitative studies</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) Question: Did the study address a clear and focused question/issue?</td>
</tr>
<tr>
<td>2) Design: Was the research design appropriate for addressing the research question?</td>
</tr>
<tr>
<td>3) Selection: Was the method of selection of the subjects clearly described?</td>
</tr>
<tr>
<td>4) Bias: Could the way the sample was obtained, introduce (selection) bias?</td>
</tr>
<tr>
<td>5) Representative: Was the sample of subjects, representative of the population?</td>
</tr>
<tr>
<td>6) Power: Was the sample size based on pre-study considerations of statistical power?</td>
</tr>
<tr>
<td>7) Response rate: Was a satisfactory response rate achieved?</td>
</tr>
<tr>
<td>8) Valid and reliable: Are the measurements (questionnaires) likely to be valid and reliable?</td>
</tr>
<tr>
<td>9) Statistical significance: Was the statistical significance assessed?</td>
</tr>
<tr>
<td>10) Confidence interval: Are confidence intervals given for the main results?</td>
</tr>
<tr>
<td>11) Confounders: Could there be confounding factors that the study has not considered?</td>
</tr>
<tr>
<td>12) Application: Can the results be applied to your organization?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>CASP screening questions to assess qualitative studies</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) Aim: Was there a clear statement of the purpose of the research?</td>
</tr>
<tr>
<td>2) Methodology: Is a qualitative method appropriate?</td>
</tr>
<tr>
<td>3) Design: Was the research design appropriate to address the aims of the research?</td>
</tr>
<tr>
<td>4) Recruitment: Was the recruitment strategy appropriate to the aims of the research?</td>
</tr>
<tr>
<td>5) Data: Was the data collected in a way that addressed the research issue?</td>
</tr>
<tr>
<td>6) Relationship: Has the relationship between the researcher and participants been adequately considered?</td>
</tr>
<tr>
<td>7) Ethics: Have ethical issues been taken into consideration?</td>
</tr>
<tr>
<td>8) Rigorous: Was the data analysis sufficiently rigorous?</td>
</tr>
<tr>
<td>9) Findings: Is there a clear statement of the results?</td>
</tr>
<tr>
<td>10) Valuable: Does the study contribute to valuable existing knowledge in research?</td>
</tr>
</tbody>
</table>

**Results**

The search produced 1094 papers including 1036 identified through the systematic search in EBSCO host databases, and 58 new titles added from other sources. We removed duplicates and remained with 838 papers, among which 5 were in French. Titles and abstracts were screened to ensure alignment to the inclusion criteria, and 766 were eliminated from the study, thus leaving 72 eligible papers for further scrutiny which, eventually, were written in English. We downloaded the 72 papers and read their entire texts to assess eligibility in line with the inclusion and exclusion criteria. We eliminated 44 of the publications mainly because the studies were not consistent with our inclusion criteria. We remained with 28 publications which were eventually scientific journal articles. Among these, 22 were quantitative studies, and 6 qualitative or mixed methods with a dominant qualitative approach. The majority of the studies used
primary data (22, 79%), while others utilized secondary (4, 14%) or both primary and secondary data (2, 7%). The data collection methods included surveys (21, 75%), interviews (4, 14%), document analysis (2, 7%), and Focused Group Discussions (FGDs) (1, 3%). Only two of the studies had interventions.

**Operationalization of the concept “research productivity”**

In many of the included papers, the terms ‘research productivity’, ‘research outputs’, and ‘research products’ were used interchangeably. Table 2 shows the different ways in which the concept of research productivity was operationalized in the selected papers.

The majority of the studies (27, 96%) operationalized research productivity as journal article publications, followed by conference presentations (26, 93%), textbooks (19, 68%), and media presentations (9, 32%). Other research products included research grant attractions, technical reports, patents/trademarks or innovations, policy briefs, supervision of postgraduate students, and blogs. In some of the articles, research productivity is operationalized in multiple ways, for instance, a journal article, conference presentation and textbooks.

**Factors associated with research productivity in higher education institutions in Africa**

Table 3 presents the individual-related factors of research productivity, which have further been grouped into three subthemes (i.e., sociodemographic, psychological, individual competencies) with several factors under each. Also, the Table shows the number and percentage of the overall studies that reported significant associations between the factors and research productivity or intensely identified the concept as related to research productivity, and a quotation for illustration.

The most frequently reported significant individual-related factors associated with research productivity were motivations and academic qualifications, both of which were published in 32% of the studies (Table 3). They were closely followed by gender (29%) and research self-efficacy (21%). Other factors included academic rank and tenure (18%); age, academic discipline and attitudes to research (all reported by 14% of the studies);
### Table 1. Study characteristics.

<table>
<thead>
<tr>
<th>ID</th>
<th>Author, date</th>
<th>Location</th>
<th>Country</th>
<th>Funding source</th>
<th>Population</th>
<th>N</th>
<th>Age</th>
<th>Study design/type</th>
<th>Type of data</th>
<th>Method of data collection</th>
<th>Intervention</th>
<th>Sampling Technique</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Chepkorir, 2018</td>
<td>Single HEI</td>
<td>Kenya</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>193</td>
<td>41</td>
<td>Quantitative</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Simple Random</td>
</tr>
<tr>
<td>2</td>
<td>Okendo, 2018</td>
<td>Single HEI</td>
<td>Tanzania</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>40</td>
<td>Not indicated</td>
<td>Mixed methods</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Stratified</td>
</tr>
<tr>
<td>3</td>
<td>Snowball &amp; Shackleton, 2018</td>
<td>Single HEI</td>
<td>South Africa (SA)</td>
<td>HEI</td>
<td>Faculty</td>
<td>174</td>
<td>Not indicated</td>
<td>Quantitative</td>
<td>Primary</td>
<td>FGD</td>
<td>No</td>
<td>Convenience</td>
</tr>
<tr>
<td>4</td>
<td>Wengua et al., 2017</td>
<td>Multi-HEI national</td>
<td>Kenya</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>80</td>
<td>Not indicated</td>
<td>Mixed methods</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Purposive</td>
</tr>
<tr>
<td>5</td>
<td>Callaghan, 2017</td>
<td>Single HEI</td>
<td>South Africa</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>225</td>
<td>Not indicated</td>
<td>Quantitative</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Purposive</td>
</tr>
<tr>
<td>6</td>
<td>Feyera et al., 2017</td>
<td>Single HEI</td>
<td>Ethiopia</td>
<td>HEI</td>
<td>Faculty</td>
<td>120</td>
<td>Not indicated</td>
<td>Quantitative</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Stratified</td>
</tr>
<tr>
<td>7</td>
<td>Opesade et al., 2017</td>
<td>Single HEI</td>
<td>Nigeria</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>115</td>
<td>Not indicated</td>
<td>Quantitative</td>
<td>Secondary</td>
<td>Desk review</td>
<td>No</td>
<td>Stratified</td>
</tr>
<tr>
<td>8</td>
<td>Moseti &amp; Mutula, 2016</td>
<td>Multi-HEI national</td>
<td>Kenya</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>605</td>
<td>Not indicated</td>
<td>Quantitative</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Simple Random</td>
</tr>
<tr>
<td>9</td>
<td>Muia &amp; Oringo, 2016</td>
<td>Single HEI</td>
<td>Kenya</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>58</td>
<td>41.3</td>
<td>Quantitative</td>
<td>Both</td>
<td>Survey</td>
<td>No</td>
<td>Purposive</td>
</tr>
<tr>
<td>10</td>
<td>Schafer et al., 2016</td>
<td>Multi-HEI national</td>
<td>Kenya</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>473</td>
<td>Not indicated</td>
<td>Quantitative</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Purposive</td>
</tr>
<tr>
<td>11</td>
<td>Okonendo, et al., 2015</td>
<td>Multi-HEI national</td>
<td>Nigeria</td>
<td>Unspecified</td>
<td>Librarians</td>
<td>142</td>
<td>41.82</td>
<td>Quantitative</td>
<td>Both</td>
<td>Survey</td>
<td>No</td>
<td>Purposive</td>
</tr>
<tr>
<td>12</td>
<td>Okonendo, 2015</td>
<td>Multi-HEI national</td>
<td>Nigeria</td>
<td>Unspecified</td>
<td>Librarians</td>
<td>142</td>
<td>Not indicated</td>
<td>Quantitative</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Purposive</td>
</tr>
<tr>
<td>13</td>
<td>Ani et al., 2015</td>
<td>Multi-HEI national</td>
<td>Nigeria</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>586</td>
<td>Not indicated</td>
<td>Quantitative</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Stratified</td>
</tr>
<tr>
<td>14</td>
<td>Callaghan, 2015a</td>
<td>Single HEI</td>
<td>SA</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>225</td>
<td>Not indicated</td>
<td>Quantitative</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Purposive</td>
</tr>
<tr>
<td>15</td>
<td>Musiige &amp; Maassen, 2015</td>
<td>Single HEI</td>
<td>Uganda</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>9</td>
<td>Not indicated</td>
<td>Qualitative</td>
<td>Primary</td>
<td>Interviews</td>
<td>No</td>
<td>Convenience</td>
</tr>
<tr>
<td>16</td>
<td>Anyaogu &amp; Iyabo, 2014</td>
<td>Multi-HEI national</td>
<td>Nigeria</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>414</td>
<td>42.4</td>
<td>Quantitative</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Cluster</td>
</tr>
<tr>
<td>17</td>
<td>Nyaribo, 2014</td>
<td>Multi-HEI national</td>
<td>Kenya</td>
<td>Unspecified</td>
<td>Faculty</td>
<td>54</td>
<td>not indicated</td>
<td>Quantitative</td>
<td>Primary</td>
<td>Survey</td>
<td>No</td>
<td>Convenience</td>
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<tr>
<td>ID</td>
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<td>Method of data collection</td>
<td>Sampling Technique</td>
<td>Study design/type</td>
<td>Population</td>
<td>N</td>
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<td>Type of data</td>
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<td>------------------</td>
<td>------------</td>
<td>----</td>
<td>-----</td>
<td>--------------</td>
</tr>
<tr>
<td>18</td>
<td>Okiki, 2013a</td>
<td>Multi-HEI</td>
<td>Nigeria</td>
<td>Unspecified Faculty</td>
<td>Quantitative</td>
<td>Primary Survey</td>
<td>Quota</td>
<td>No</td>
<td>1057</td>
<td>Not indicated</td>
<td>18</td>
<td>46.12</td>
</tr>
<tr>
<td>19</td>
<td>Okiki, 2013b</td>
<td>Multi-HEI</td>
<td>Nigeria</td>
<td>Unspecified Faculty</td>
<td>Quantitative</td>
<td>Primary Survey</td>
<td>No</td>
<td>Not indicated</td>
<td>873</td>
<td>Not indicated</td>
<td>43.4</td>
<td>Not indicated</td>
</tr>
<tr>
<td>20</td>
<td>Oyebade, 2012</td>
<td>Multi-HEI</td>
<td>Nigeria</td>
<td>Unspecified Faculty</td>
<td>Quantitative</td>
<td>Primary Survey</td>
<td>Stratified</td>
<td>No</td>
<td>77</td>
<td>Not indicated</td>
<td>46.12</td>
<td>Not indicated</td>
</tr>
<tr>
<td>21</td>
<td>Sulo et al., 2012</td>
<td>Single HEI</td>
<td>Kenya</td>
<td>Unspecified Faculty</td>
<td>Quantitative</td>
<td>Primary Survey</td>
<td>No</td>
<td>No</td>
<td>242</td>
<td>Not indicated</td>
<td>381</td>
<td>Not indicated</td>
</tr>
<tr>
<td>22</td>
<td>Geber, 2009b</td>
<td>Single HEI</td>
<td>SA</td>
<td>Unspecified Students</td>
<td>Quantitative</td>
<td>Primary Survey</td>
<td>Yes</td>
<td>Yes</td>
<td>8</td>
<td>Not indicated</td>
<td>8</td>
<td>Not indicated</td>
</tr>
<tr>
<td>23</td>
<td>Geber, 2009a</td>
<td>Single HEI</td>
<td>SA</td>
<td>Unspecified Faculty</td>
<td>Quantitative</td>
<td>Primary Survey</td>
<td>Yes</td>
<td>Yes</td>
<td>8</td>
<td>Not indicated</td>
<td>8</td>
<td>Not indicated</td>
</tr>
<tr>
<td>24</td>
<td>Ogbogu, 2009</td>
<td>Multi-HEI</td>
<td>SA</td>
<td>Unspecified Faculty</td>
<td>Quantitative</td>
<td>Primary Survey</td>
<td>No</td>
<td>No</td>
<td>381</td>
<td>Not indicated</td>
<td>381</td>
<td>Not indicated</td>
</tr>
<tr>
<td>25</td>
<td>Prozesky, 2008</td>
<td>Multi-HEI</td>
<td>SA</td>
<td>Unspecified Faculty</td>
<td>Quantitative</td>
<td>Primary Survey</td>
<td>No</td>
<td>No</td>
<td>55</td>
<td>Not indicated</td>
<td>55</td>
<td>Not indicated</td>
</tr>
<tr>
<td>26</td>
<td>Prozesky, 2006</td>
<td>Multi-HEI</td>
<td>SA</td>
<td>Unspecified Faculty</td>
<td>Quantitative</td>
<td>Primary Survey</td>
<td>No</td>
<td>No</td>
<td>6763</td>
<td>Not indicated</td>
<td>6763</td>
<td>Not indicated</td>
</tr>
<tr>
<td>27</td>
<td>Van Staden et al., 2001</td>
<td>Single HEI</td>
<td>SA</td>
<td>HEI</td>
<td>Qualitative</td>
<td>Primary Interview</td>
<td>Purposive</td>
<td>No</td>
<td>19</td>
<td>42.8</td>
<td>Not indicated</td>
<td>No</td>
</tr>
<tr>
<td>28</td>
<td>Van Staden et al., 2001</td>
<td>Single HEI</td>
<td>SA</td>
<td>HEI</td>
<td>Qualitative</td>
<td>Primary Interview</td>
<td>Purposive</td>
<td>No</td>
<td>19</td>
<td>42.8</td>
<td>Not indicated</td>
<td>No</td>
</tr>
</tbody>
</table>

Note: Only the family name of the first author is indicated. The notation "et al." indicates where there are two or more authors.

N = Number of study participants
and the individual’s research culture and experience (both published in 11% of the articles).

Institutional-related factors associated with research productivity

Table 4 summarizes the data of the institutional-related factors reported as having a significant association with research productivity. The availability of research funds was the most reported institutional-related factor associated with research productivity (43% of the papers). This was followed by networking and collaborations (36%); institutional support to research and conducive policies (32%); research environment and research time (both reported in 29% of the studies); and research mentorship/coaching and internet connectivity (both published in 21% of the papers). Other institutional-related factors included working with graduate students, teaching workload, training and financial incentives. The least reported institutional factors were the availability of office space; institutional ownership; and the institutional administrative structures, each of which was published in only one study.

Research motivations in higher education institutions in Africa

Table 5 shows the papers that focused on the motives for conducting research. The motives for conducting research was greatly attributed to the availability of research funding (43%); followed by the need for a salary increment (25%), and the need to gain recognition and reputation within the academic career (21%). Job satisfaction was the least reported motivation for research, with only two (2, 7%) studies having considered it as a motivating factor for conducting research.

<table>
<thead>
<tr>
<th>Operationalization</th>
<th>Study ID</th>
<th>Number, % of all articles</th>
</tr>
</thead>
<tbody>
<tr>
<td>Journal article publications</td>
<td>[1- 23; 25- 28]</td>
<td>(27, 96%)</td>
</tr>
<tr>
<td>Conference presentations</td>
<td>[1- 6; 8- 17; 19- 28]</td>
<td>(26, 93%)</td>
</tr>
<tr>
<td>Textbooks</td>
<td>[1; 3; 5-6; 8-19; 21-22; 27]</td>
<td>(19, 68%)</td>
</tr>
<tr>
<td>Media presentations</td>
<td>[1, 2, 3, 4, 8, 10, 13, 19, 21]</td>
<td>(9, 32%)</td>
</tr>
<tr>
<td>Research grants attracted</td>
<td>[4, 10, 15, 17, 20, 23-24]</td>
<td>(7, 25%)</td>
</tr>
<tr>
<td>Technical report</td>
<td>[3, 8, 10, 15, 18, 27]</td>
<td>(6, 21%)</td>
</tr>
<tr>
<td>Patent/ Trademark or Innovation</td>
<td>[3, 15, 18, 20]</td>
<td>(4, 14%)</td>
</tr>
<tr>
<td>Policy brief</td>
<td>[8, 18]</td>
<td>(2, 7%)</td>
</tr>
<tr>
<td>Supervision of Ph.D. students</td>
<td>[3, 15]</td>
<td>(2, 7%)</td>
</tr>
<tr>
<td>Blogs</td>
<td>[21]</td>
<td>(1, 4%)</td>
</tr>
</tbody>
</table>

Results of the assessment of the risk of bias

Figure 2 presents the results of the methodological quality assessment of the quantitative and mixed method (with quantitative dominant) studies (22) included in the analysis, presented using the Cochrane Review Manager tool (RevMan 5.3) (Uwizeye et al., 2021).

The evaluation (Figure 2) indicates that all the included articles addressed a clear research question(s). Also, 90% of the papers employed appropriate designs; 80% clearly described selection processes; 75% had low selection bias risk, and 78% had satisfactory response rates, while 85% had high validity and reliability potentials. However, none of the studies based their sample sizes on pre-study conditions of statistical power and most of the studies (over 75%) did not provide confidence intervals or identified confounding factors. The latter results were expected, based on the fact that most of the studies were descriptive and mostly used the purposive sampling technique to identify respondents.

Similarly, the assessment of the methodological quality of the six qualitative studies is provided in Figure 3 (Uwizeye et al., 2021).

According to the evaluation presented in Figure 3, all the papers presented a clear statement of the aims of the research, and utilized appropriate qualitative methodology. Over 75% of the publications used proper research design to address the objectives of the study; appropriate recruitment strategies for the informants; and adequate data collection techniques. They also presented clear statements of findings and had the potential
<table>
<thead>
<tr>
<th>Factors</th>
<th>Study IDs</th>
<th>Number and % of papers</th>
<th>Significant quote</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sociodemographic</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gender</td>
<td>[5, 9, 13, 16, 22, 25-27]</td>
<td>(8, 29%)</td>
<td>“[female] have shorter publication career spans and interrupt their research and publication momentum because of family-related demands on their time and energy” (Prozesky, 2008).</td>
</tr>
<tr>
<td>Age</td>
<td>[9, 16, 22, 26]</td>
<td>(4, 14%)</td>
<td>“...age, designation, and years of experience have a significant positive relationship with research productivity...” (Anyaogu &amp; Iyabo, 2014).</td>
</tr>
<tr>
<td>Academic Discipline</td>
<td>[6, 9, 20, 22]</td>
<td>(4, 14%)</td>
<td>“Scientists in the field of chemistry, biochemistry, pharmacy, and those in the field of plant science, animal science, microbiology were found to be more productive than those in the field of physics, mathematics, and electronics” (Obembe, 2012).</td>
</tr>
<tr>
<td><strong>Psychological</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Motivation</td>
<td>[4, 6, 9, 11, 12, 15, 23, 27-28]</td>
<td>(9, 32%)</td>
<td>“Being motivated about research was the most commonly reported enabler of research productivity, across all disciplines and career stages” (Snowball &amp; Shackleton, 2018).</td>
</tr>
<tr>
<td>Research self-efficacy</td>
<td>[1, 5, 11, 15, 20, 24]</td>
<td>(6, 21%)</td>
<td>“Years as a researcher and research self-efficacy were found to positively predict the research outputs of academics in this context” (Callaghan, 2015b).</td>
</tr>
<tr>
<td>Locus of control/proper time management</td>
<td>[3, 24, 27-28]</td>
<td>(5, 71%)</td>
<td>Persistence [and proper time management] was a trait that was strongly associated with successful publication and was offered as advice to new staff members in both carrying out research and getting it published (Van Staden et al., 2001).</td>
</tr>
<tr>
<td>Attitude/perception of research</td>
<td>[2, 15, 23, 27]</td>
<td>(4, 14%)</td>
<td>“...early career academics found that [coaching programmes] help them to change their perceptions of their responsibility to themselves in the crucial area of writing for publication” (Geber, 2009b).</td>
</tr>
<tr>
<td>Individual Culture of research</td>
<td>[2, 27-28]</td>
<td>(3, 11%)</td>
<td>“...attitude of researchers towards research activities, the contribution of cultures towards research activities, allocated time for research productivity in the university, the cooperation of the research teams, sustainability support and coordination of faculty development initiatives and provision of research information and authorization for external research are the major cultural constraints to research productivity...” (Okendo, 2018).</td>
</tr>
<tr>
<td><strong>Individual competencies</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Academic Qualification</td>
<td>[1, 2, 6, 9, 15-16, 21-22, 25]</td>
<td>(9, 32%)</td>
<td>Findings indicated that the staff qualifications positively influenced research output the most (Chepkorir, 2018).</td>
</tr>
<tr>
<td>Academic ranks and tenure</td>
<td>[6, 18, 20, 22, 25]</td>
<td>(5, 18%)</td>
<td>“... librarians... they promotion and tenure are tied to publishing and research like their teaching counterparts (Okonedo &amp; Sarah, 2015)</td>
</tr>
<tr>
<td>Experience as a researcher</td>
<td>[14, 16, 25]</td>
<td>(3, 11%)</td>
<td>“…it is not the influence of total work experience but the influence of experience as a researcher that is primarily associated with higher levels of research productivity in the form of journal and conference outputs” (Callaghan, 2015a).</td>
</tr>
</tbody>
</table>

**Table 3. Individual-related factors significantly associated with research productivity and sample quotes.**
### Table 4. Institutional-related factors associated with research productivity.

<table>
<thead>
<tr>
<th>Factors</th>
<th>Study IDs</th>
<th>Number and % of the papers</th>
<th>Significant quote</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Research capacity and partnerships</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Networking/Collaborations</td>
<td>[2-3, 6, 8, 10, 15, 20-21, 24, 28]</td>
<td>(10, 36%)</td>
<td>&quot;The study implied that limited participation by scholars in collaborative networks hinders the creation of new knowledge and lowers scholars' research productivity&quot; (Moseti &amp; Mutula, 2016).</td>
</tr>
<tr>
<td>Institutional support for research and conducive policies</td>
<td>[2, 4, 6, 9-10, 15, 17, 23-24]</td>
<td>(9, 32%)</td>
<td>&quot;...factors such as the level of the university, level of supervision, recruitment and selection policies, disparities among faculties, training, department support; put together as institutional factors, play a greater role in enhancing research productivity in Kenya's Public Universities&quot; (Muia &amp; Oringo, 2016)</td>
</tr>
<tr>
<td>Research environment</td>
<td>[4, 6, 9, 11, 15, 21, 23, 24]</td>
<td>(8, 29%)</td>
<td>&quot;...lack of recognition such as promotion, absence of institutional research journal, poor access to information sources such as internet connectivity, insufficient research facilities, lack of financial incentives, lack of institutional/department support on publication, high publication charges inquired by journals, and poor research and publication atmosphere were agreed upon by about 75% of the respondents&quot; (Feyera et al., 2017).</td>
</tr>
<tr>
<td>Research time</td>
<td>[2-4, 9, 11, 15, 21, 25]</td>
<td>(8, 29%)</td>
<td>&quot;While most of them agreed that the time accorded to the research function was sufficient since they only had to teach for a minimum of ten hours per week, they had reservations about the quality of the institutional infrastructure&quot; (Musiige &amp; Maassen, 2015).</td>
</tr>
<tr>
<td>Research mentorship/coaching</td>
<td>[8, 15, 17, 23-24, 28]</td>
<td>(6, 21%)</td>
<td>&quot;Mentorship and guidance of doctoral students is another organizational component attached to research at MAK [Makerere University].&quot; (Musiige &amp; Maassen, 2015)</td>
</tr>
<tr>
<td>Working with graduate students</td>
<td>[3, 6, 9, 15]</td>
<td>(4, 14%)</td>
<td>The role of doctoral students in supporting academics to publish and execute different projects cannot be overstated in research universities&quot; (Musiige &amp; Maassen, 2015).</td>
</tr>
<tr>
<td>Research Training/Short Courses</td>
<td>[2, 6, 9]</td>
<td>(3, 11%)</td>
<td>&quot;Research culture development requires a significant allocation of resources for training and development.&quot; (Okendo, 2018)</td>
</tr>
<tr>
<td><strong>Research funding</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Research grants</td>
<td>[2-4, 6, 9, 11, 15, 17-18, 20-21, 28]</td>
<td>(12, 43%)</td>
<td>The nature of research projects was mainly influenced by donor funding, which usually came with a financial reward for the academics&quot; (Musiige &amp; Maassen, 2015).</td>
</tr>
<tr>
<td>Financial incentives to encourage research</td>
<td>[3, 6, 9]</td>
<td>(3, 11%)</td>
<td>&quot;The most cited barriers in order of higher frequency include lack of recognition such as promotion, absence of institutional research journal, poor access to information sources such as internet connectivity, insufficient research facilities, lack of financial incentives, lack of institutional/department support on publication, high publication charges inquired by journals, and poor research and publication atmosphere [...].&quot; (Feyera et al., 2017)</td>
</tr>
<tr>
<td>Bureaucracy in funds management and procurement</td>
<td>[9, 15]</td>
<td>(2, 7%)</td>
<td>&quot;Other concepts and issues that were stated to have an impact on research productivity included [...] sophisticated procurement procedures&quot; (Muia &amp; Oringo, 2016).</td>
</tr>
<tr>
<td>Factors</td>
<td>Study IDs</td>
<td>Number and % of the papers</td>
<td>Significant quote</td>
</tr>
<tr>
<td>-------------------------------------</td>
<td>-----------</td>
<td>----------------------------</td>
<td>------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td><strong>Infrastructural research enabling support</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Internet connectivity</td>
<td>[6, 10, 13, 15, 18, 19]</td>
<td>(6, 21%)</td>
<td>The barriers to research productivity by teaching faculty members in the universities include low Internet bandwidth (M=3.793; SD=1.162) (<a href="#">Okiki, 2013b</a>).</td>
</tr>
<tr>
<td>Teaching workload (heavy)</td>
<td>[2, 9, 17, 25]</td>
<td>(4, 14%)</td>
<td>Another aspect that affects research output is workload. Academic staff with a heavy workload of either teaching or administration find it difficult to create time to undertake research (<a href="#">Nyaribo, 2014</a>).</td>
</tr>
<tr>
<td>Office space</td>
<td>[4]</td>
<td>(1, 4%)</td>
<td>“The study identified challenges encountered by university faculty members while undertaking research and scholarly publishing. This was evidenced by ineffective documentation of publications, inadequate or no funding at all, poor research infrastructure, inadequate working space, and inadequate time for undertaking research” (<a href="#">Wengua et al., 2017</a>).</td>
</tr>
<tr>
<td>Institutional Ownership</td>
<td>[16]</td>
<td>(1, 4%)</td>
<td>“…ownership of the university significantly correlated positively with research productivity…” (<a href="#">Anyaogu &amp; Iyabo, 2014</a>)</td>
</tr>
<tr>
<td>Institutional administrative structure</td>
<td>[15]</td>
<td>(1, 4%)</td>
<td>“…the failure to coordinate the support activities of various central administrative offices resulted in an environment that was not conducive to research work” (<a href="#">Musiige &amp; Maassen, 2015</a>).</td>
</tr>
</tbody>
</table>
to contribute to existing knowledge. On the other hand, less than 50% of the studies adequately described the relationship between the researcher and participants, and very few of them sought ethical clearance before conducting research. However, this was not surprising since seeking for ethical review is not a compulsory practice in all cases of education research.

**Discussion**

The purpose of this study was to determine the factors associated with research productivity in HEIs in Africa and to identify the motives for conducting research. The study revealed that interest in factors associated with research productivity in HEIs in Africa was progressively increasing with most of the studies having been conducted over the last five years. The review also showed that the highest concentration of the research around the topic was in three countries, South Africa, Nigeria and Kenya, which constitutes less than 5.5% of the number of countries within the African region. Generally, this follows the trend in the overall research productivity in Africa (Saric et al., 2018). The low percentage in the number of countries that have conducted studies on the factors contributing to research productivity in HEIs raises apprehension on the importance attached to research productivity in the majority of the countries within the broader African region (Atuahene, 2011; O’Connell et al., 2014; Whitworth et al., 2008), and the gravity is given to the role that research plays in the development process of the continent (Karimi, 2015). Earlier studies made a similar conclusion on the continental imbalance in research.

**Table 5. The motivation for conducting research.**

<table>
<thead>
<tr>
<th>Reasons</th>
<th>Study IDs</th>
<th>Number and % of the papers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research funding</td>
<td>[2-4, 6, 9, 11, 15, 17, 18, 20-21, 28]</td>
<td>(12, 43%)</td>
</tr>
<tr>
<td>Academic promotion and earn extra income or increased salary</td>
<td>[2-4, 6, 9, 11, 15, 21]</td>
<td>(7, 25%)</td>
</tr>
<tr>
<td>Recognition and reputation (including tenure and promotions)</td>
<td>[4, 6, 9, 11, 12, 15, 28]</td>
<td>(6, 21%)</td>
</tr>
<tr>
<td>Job satisfaction</td>
<td>[5, 9, 11-12]</td>
<td>(4, 14%)</td>
</tr>
</tbody>
</table>

**Figure 2. Assessment of methodological quality of quantitative and mixed methods studies.**
collaborations and publication. African Anglophone countries published more than other parts of the continent (Adams et al., 2014), and were more likely to engage in research collaborations (Kabiru et al., 2014). The English speaking countries may have benefited from the dominance of English language in academic publishing, which apparently disadvantages multilingual and English speaking researchers (Hyland, 2016; Martín et al., 2014).

The results revealed that academic qualifications, motivations, gender and research self-efficacy, were the most reported individual-related factors related to research productivity in African HEIs, and these factors were identified in a similar review (Mantikayan & Abdulgani, 2018). Academic qualifications and, in some context, the researcher’s gender are directly linked to self-esteem and motivation to doing research. Elsewhere, researchers argued that raising lecturers’ self-esteem contributed to increased research productivity (van Lankveld et al., 2017). Further, retreats provided staff with protected time and space, and opportunities to develop writing competences. Reviews of the interventions that targeted to increase research productivity indicated a positive effect of writing courses, writing support groups and writing coaches (McGrail et al., 2006) which were also part of the institutional factors. Similarly, Kornhaber et al. (2016) discussed that institutions that organized writing retreats and follow up mechanisms increased publication outputs.

Furthermore, research funding and infrastructural research enabling support were reported in many studies as the motivation for research in HEIs in Africa. Studies indicated that remuneration and other monetary rewards served as an incentive for scholars to engage in research (Nguyen, 2015). The study also identified the need for salary increments, availability of scholarly resources, the need for recognition as well as the need to safeguard one’s reputation to be additional motivations for research, beyond research funding, all of which relate to institutional factors. This concurs with the perspective of Musiige & Maassen (2015) who argues that the effectiveness of motivations in research productively depends on the institutional culture on research, which relates to the institutional-related factors of this study, an opinion also held by Feyera et al. (2017).

We recognize that this study considered studies of different methodological approaches of qualitative and quantitative studies as observed from the results of the assessment of the risk of bias (Figure 2 and Figure 3). These factors could potentially have a bearing on the data we harvested, and the conclusions we have made to some extent. However, we remain convinced that the meaning of the findings, and the rationale of the study of informing efforts to increasing research productivity in HEIs in Africa remain significant.

**Conclusion and recommendation**

The study concludes that studies that investigated the dearth of research productivity in HEIs in Africa remain low and imbalanced. Based on the available studies, institutional factors are more attributed to research productivity than individual-related factors. More specifically, factors such as enhanced faculty.

![Figure 3. Assessment of methodological quality of qualitative studies.](image-url)
research networks and collaborations, and research supporting policies offered protected research time to faculty members and created a conducive research environment that motivated researchers to increase research productivity.

The study recommends that the leadership of HEIs in Africa invests in funding research for researchers to contribute to the continental development agenda. Also, institutional support to research, including the provision of research enabling environments and policies; provision of research output incentives; strengthening of researchers’ capabilities through relevant training courses, and provision of opportunities for mentorship and coaching should be strengthened. Besides, HEIs in Africa should develop secure institutional research networks and collaborations.

Data availability
Open Science Framework: Factors associated with research productivity in higher education institutions in Africa: a systematic review. https://doi.org/10.17605/OSF.IO/P3GVX (Uwizeye et al., 2021).

This project contains the following extended data:
- Raw data _ROBA_ for qualitative papers
- Raw data _ROBA_ for quantitative papers

References


Geber H: Coaching for accelerated research productivity in Higher Education. It is recognized that combining a thorough orientation to academic life and its expectations with intensive training in conceptualising research can accelerate the careers of early career. International Journal of Evidence Based Coaching and Mentoring. 2009a; 8(2): 64-78.
Open Peer Review

Current Peer Review Status: 🤔 ✅ ✅

Version 1

Reviewer Report 21 July 2021

https://doi.org/10.21956/aasopenres.14328.r28734

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Rose Okoyo Opiyo
University of Nairobi, Nairobi, Kenya

This review has been conducted with rigor and is well written. However, I have minor comments which the author can address and the paper can be accepted for indexing.

Background: Research is a core mandate of HEIs (Jowi et al., 2013). Please articulate this in the background. Yet, key output of post-graduate students' research has been thesis/dissertation, and less focus on publications as shown in the review.

Methods:
- On the PRISMA diagram at Screening stage, add the word “abstracts” so that you have “Records titles and abstracts screened (n=838) and “Records titles and abstracts excluded (n=766).
- Column 2, 2nd paragraph, Line 1: Edit to read – “The papers included in this review were both quantitative and qualitative.” This paragraph should also be part of the previous paragraph after the Galdas et al., 2015 citation.
- I am just wondering whether we can replace the phrase “the included papers...” with “the papers included in the review...” where applicable.
- Although the review protocol does not seem to have been registered, it is available under extended data.

Results:
- 3rd line - delete “the search of” – it is redundant.
- 4th Line – after 838 – add “papers”.
- Table 1: Define “Location” under Table legend to mean “single institution or multiple institution-based”.

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Rose Okoyo Opiyo
University of Nairobi, Nairobi, Kenya

This review has been conducted with rigor and is well written. However, I have minor comments which the author can address and the paper can be accepted for indexing.

Background: Research is a core mandate of HEIs (Jowi et al., 2013). Please articulate this in the background. Yet, key output of post-graduate students' research has been thesis/dissertation, and less focus on publications as shown in the review.

Methods:
- On the PRISMA diagram at Screening stage, add the word “abstracts” so that you have “Records titles and abstracts screened (n=838) and “Records titles and abstracts excluded (n=766).
- Column 2, 2nd paragraph, Line 1: Edit to read – “The papers included in this review were both quantitative and qualitative.” This paragraph should also be part of the previous paragraph after the Galdas et al., 2015 citation.
- I am just wondering whether we can replace the phrase “the included papers...” with “the papers included in the review...” where applicable.
- Although the review protocol does not seem to have been registered, it is available under extended data.

Results:
- 3rd line - delete “the search of” – it is redundant.
- 4th Line – after 838 – add “papers”.
- Table 1: Define “Location” under Table legend to mean “single institution or multiple institution-based”.

© 2021 Opiyo R. This is an open access peer review report distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.
Page 5, Column 2, 1st sentence: An interesting finding that research output from postgraduate students is very minimal. Or does it mean that faculties were the corresponding authors? I hope this is picked up in the discussion section.

Page 5 Column 2, 2nd sentence: “The mostly applied sampling...” needs rephrasing.

Is the “age of researcher/authors” a common feature in research publications? If not, then I don't think this is necessary here.

Discussion:

The review found that most studies were published between 2008 and 2018 and not before, yet, the review period was 1998 to 2018. The period when research became a core mandate for HEIs in Africa might have contributed to the observed findings here. Any comment on this under discussion?

This review also found that most studies lacked ethical clearance and statistical power. Furthermore, only about 30% of the reviewed studies were found to be rigorous enough to allow meaningful conclusions to be drawn. The finding on poor quality of manuscripts might have contributed to their rejection at journals submission stage, thus contributing to low productivity. The authors need to discuss this.

Operationalization of research productivity concept: Is it possible to include a paragraph or sentence on implication of operationalization of the concept for national/global development agenda?

In addition to motivating factors, is it possible to highlight key barriers too in results and discussion.

References


Are the rationale for, and objectives of, the Systematic Review clearly stated?
Yes

Are sufficient details of the methods and analysis provided to allow replication by others?
Yes

Is the statistical analysis and its interpretation appropriate?
Yes

Are the conclusions drawn adequately supported by the results presented in the review?
Yes
Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Public Health and Nutrition research

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Author Response 27 Dec 2021

Dieudonne Uwizeye, University of Rwanda, Kigali, Rwanda

Comment# 1:
This review has been conducted with rigor and is well written. However, I have minor comments which the author can address and the paper can be accepted for indexing.

Response:
Thank you for the comments. We produced the revised version of the manuscript in consideration of the comments.

Comment# 2:
Background: Research is a core mandate of HEIs (Jowi et al., 2013). Please articulate this in the background. Yet, the key output of post-graduate students' research has been thesis/dissertation, and less focus on publications as shown in the review.

Response:
Thank you for the comment. We have extended our background to emphasize that the HEIs’ core mandate includes research. Also, we argued how some of the HEIs in Africa do not enforce the research productivity by the postgraduate students. We argued that this practice may compromise the increase of research productivity in HEIs in Africa.

Comment# 3:
Methods:
- On the PRISMA diagram at Screening stage, add the word “abstracts” so that you have “Records titles and abstracts screened (n=838) and “Records titles and abstracts excluded (n=766).
- Column 2, 2nd paragraph, Line 1: Edit to read – “The papers included in this review were both quantitative and qualitative.” This paragraph should also be part of the previous paragraph after the Galdas et al., 2015 citation.
- I am just wondering whether we can replace the phrase “the included papers...” with “the papers included in the review...” where applicable.
- Although the review protocol does not seem to have been registered, it is available under extended data.

Response:
The editorial issues raised above were meaningful. They were addressed in the revised version of the paper.

Comment# 4:
Results:
- 3rd line - delete “the search of” – it is redundant.
- 4th Line – after 838 – add “papers”.
○ Table 1: Define “Location” under Table legend to mean “single institution or multiple institution–based”.
○ Page 5 Column 2, 2nd sentence: “The mostly applied sampling…” needs rephrasing.

Response:
The editorial issues raised above were meaningful. They were addressed in the revised version of the paper.

Comment# 5:
○ Page 5, Column 2, 1st sentence: An interesting finding that research output from postgraduate students is very minimal. Or does it mean that faculties were the corresponding authors? I hope this is picked up in the discussion section.
○ Is the “age of researcher/authors” a common feature in research publications? If not, then I don’t think this is necessary here.

Response:
Thank you for these two comments. Postgraduate students described in the indicated passage were the participants in the studies we reviewed and not the papers by postgraduate students. To put it plainly, this systematic review paper indicated evidence that papers that interviewed postgraduate students about the “factors and motivations” of research productivity in HEIs in Africa were very minimal. This implies that most of the available information about “factors and motivations” of research productivity in HEIs in Africa mainly came from lecturers. Similarly, the variable “age” is not the age of the authors that wrote the papers we reviewed. Rather, it is the age of the respondents in the papers we reviewed. We thank the reviewer for these two comments because we considered them in the revised version to ensure that the readers are not confused in the future.

Comment# 6: Discussion: The review found that most studies were published between 2008 and 2018 and not before, yet, the review period was 1998 to 2018. The period when research became a core mandate for HEIs in Africa might have contributed to the observed findings here. Any comment on this under discussion?

Response: Thank you for the comment. We made it clear in the revised version that research has always been one of the core mandates of HEIs in Africa. The 20 years period was selected to capture the changes that happened over the years. One of the changes we observed and discussed in the paper was that the interest to understand the factors and motives of research productivity within HEIs in Africa is relatively recent. This is an important finding and it informs researchers to do more research on this to inform efforts to address the challenges addressed.

Comment# 7: This review also found that most studies lacked ethical clearance and statistical power. Furthermore, only about 30% of the reviewed studies were found to be rigorous enough to allow meaningful conclusions to be drawn. The finding on the poor quality of manuscripts might have contributed to their rejection at the journals submission stage, thus contributing to low productivity. The authors need to discuss this.

Response: Thank you for the comment. We argued that most of the studies were descriptive, and used purposive sampling as they were targeting a specific population for data collection. Understandably, the studies were not expected to have statistically selected
their samples and, therefore, the presentation of the statistical power was not expected. This comment applies to other statistical measures like the confidence intervals and the consideration of confounders as well. Further, we appreciate the comment on ethical clearance. We extended the argument on this to indicate that we were not surprised that most of the studies did not comment on ethical clearance. Usually, seeking ethical clearance is not a compulsory practice in education research.

Comment# 8: Operationalization of research productivity concept: Is it possible to include a paragraph or sentence on the implication of operationalization of the concept for national/global development agenda? In addition to motivating factors, is it possible to highlight key barriers too in results and discussion.

Response:
Thank you for the comment. We collected data about how the concept of “research productivity” was operationalized in the papers we reviewed to inform readers of the meaning of the concept in the paper, and its driving factors in HEIs in Africa. We believe that we have achieved this purpose. Linking the conceptualization of the concept with its implication on the national/global development agenda was not aimed at its own.

On the comment about highlighting the key barriers to research productivity, this paper opted the line of reviewing and discussing the factors associated with research productivity, and the motives of doing research in HEIs in Africa. In doing so, the barriers to research productivities are inferred. We believe that readers will find it easy to understand the barriers to research productivity by reading the driving factors and motives to it.

Comment# 9:
- Are the rationale for, and objectives of, the Systematic Review clearly stated?
  Yes
- Are sufficient details of the methods and analysis provided to allow replication by others?
  Yes
- Is the statistical analysis and its interpretation appropriate?
  Yes
- Are the conclusions drawn adequately supported by the results presented in the review?
  Yes

Response:
We really appreciate the comments from the reviews. In consideration of the comments, we revised and published the revised version of the paper.

Competing Interests: There are no competing interests associated with this paper.
Many thanks for the opportunity to review this article. It was a pleasurable experience reading it! Great efforts by the authors to describe a research area that has been largely anecdotally explored. The paper aims to provide an understanding of factors associated with research productivity in higher education institutions in Africa. It is well written in English and discussed in detail.

I have very minor comments and suggestions:

1) In the introduction section, the authors note that the purpose of the study is two-fold – To: determine factors associated with research productivity in HEIs in Africa, and identify what motivates researchers working in HEIs in Africa to do research. However, in the methods section, there is no mention of the latter rationale (motivational factors) within the inclusion and exclusion criteria. They could also add this aim to the scope of the research papers.

2) The last sentence in the introduction section can be moved to the methods section at the first place. Table 1: ‘study characteristics’ should be edited to address typographic errors i.e. interview, FDG, and could be treated as an appendix/supplementary file. Table 3 and 4 requires further formatting i.e. the key factors such as sociodemographic, psychological and individual competencies, research capacity and partnerships, research funding etc should be in bold, while the sub-factors shouldn’t be written in bold font.

3) There is mention of French language as inclusion criteria for selection of review papers, yet the authors do not refer to this in the results and discussion section. Notably, they highlighted that African Anglophone countries publish more than other parts of the continent and are likely to engage in research. Some detailed insights on challenges faced by African Francophone countries in relation to research productivity could help strengthen the paper. The articles below focus on why English as a standard language for scientific research communication presents challenges for many non-Anglophone speaking scientists, but can give you some pointers:
   ○ Hyland (20161).
   ○ Martín et al. (20142).

4) In the discussion section, the authors capture some very important perspectives around
individual level factors associated with research productivity which includes academic qualifications, motivation and gender. I think some further nuanced reflections on how these factors relates to research productivity would greatly strengthen the claims being made in the paper. In addition, they should consistently use the abbreviation HEIs in the discussion and conclusion sections as earlier utilised in the previous sections.

I look forward to seeing this work indexed!

References

Are the rationale for, and objectives of, the Systematic Review clearly stated?
Yes

Are sufficient details of the methods and analysis provided to allow replication by others?
Yes

Is the statistical analysis and its interpretation appropriate?
Yes

Are the conclusions drawn adequately supported by the results presented in the review?
Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Gender research; Scientific research capacity strengthening in higher education and research institutions in Africa

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Author Response 27 Dec 2021

Dieudonne Uwizeye, University of Rwanda, Kigali, Rwanda

Comment# 1:
Many thanks for the opportunity to review this article. It was a pleasurable experience reading it! Great efforts by the authors to describe a research area that has been largely anecdotally explored. The paper aims to provide an understanding of factors associated with research productivity in higher education institutions in Africa. It is well written in English and discussed in detail.
I have very minor comments and suggestions:
Response:
Thank you. We appreciated the comments; they helped us to revise the manuscript.

**Comment# 2:**
1) In the introduction section, the authors note that the purpose of the study is two-fold – To: determine factors associated with research productivity in HEIs in Africa, and identify what motivates researchers working in HEIs in Africa to do research. However, in the methods section, there is no mention of the latter rationale (motivational factors) within the inclusion and exclusion criteria. They could also add this aim to the scope of the research papers.

*Response:*
Thank you for the comment. We have added a sentence to extend the purpose of the study.

**Comment# 3:**
2) The last sentence in the introduction section can be moved to the methods section at the first place. Table 1: ‘study characteristics’ should be edited to address typographic errors i.e. interview, FDG, and could be treated as an appendix/supplementary file. Table 3 and 4 requires further formatting i.e. the key factors such as sociodemographic, psychological and individual competencies, research capacity and partnerships, research funding etc should be in bold, while the sub-factors shouldn't be written in bold font.

*Response:*
Thank you for the observations. All of them are valid, and we have revised the paper accordingly. The corrections are published in the revised version of the paper.

**Comment# 4:**
3) There is mention of French language as inclusion criteria for the selection of review papers, yet the authors do not refer to this in the results and discussion section. Notably, they highlighted that African Anglophone countries publish more than other parts of the continent and are likely to engage in research. Some detailed insights on challenges faced by African Francophone countries in relation to research productivity could help strengthen the paper. The articles below focus on why English as a standard language for scientific research communication presents challenges for many non-Anglophone speaking scientists but can give you some pointers:
- Hyland (20161).
- Martín et al. (20142).

*Response:*
Thank you for the comment. We considered this comment and added additional information in the discussion. The provided references are very much related to the additional discussion and we have cited them and enriched our list of references.

**Comment# 5:**
4) In the discussion section, the authors capture some very important perspectives around individual-level factors associated with research productivity which includes academic qualifications, motivation and gender. I think some further nuanced reflections on how these factors relate to research productivity would greatly strengthen the claims being made in the paper. In addition, they should consistently use the abbreviation HEIs in the
discussion and conclusion sections as earlier utilised in the previous sections.

**Response:**
We added an extension of our discussion of the academic qualifications, motivation and gender to indicate how these factors are linked with academic research productivity. Also, we have consistently used the abbreviation HEIs where it is appropriate throughout the paper.

**Comment # 6:**
- Are the rationale for, and objectives of, the Systematic Review clearly stated?
  - Yes
- Are sufficient details of the methods and analysis provided to allow replication by others?
  - Yes
- Is the statistical analysis and its interpretation appropriate?
  - Yes
- Are the conclusions drawn adequately supported by the results presented in the review?
  - Yes

**Response**

Thank you very much for reviewing our manuscript. It gave us the opportunity to produce the revised version.

**Competing Interests:** There are no competing interests associated with this paper.
There are editorial issues in some sections of the paper.

Abstract:
- Check the sentence under the inclusion criteria II, and the concluding sentence under the conclusion.

Introduction:
- First paragraph needs some copy-editing. There is also a need to look for a more appropriate term to replace: "university faculty members" in line 3 from the bottom of the paragraph.
- Second paragraph: Reconsider the third sentence in this paragraph (e.g. Africa populations are huge, yet only few researchers are active and making impact. Evidence on numerical strength shows that only Morocco has more than 1000 researchers per one million inhabitants.)
- Third paragraph: consider replacing the word "determine" in the sentence: "Therefore, there is an urgent need to determine...". (Consider: ...need to synthesize evidence on the factors...).
- Also consider replacing the word study in the sentence that begins with: "The purpose of this study..." with a word like systematic review.

Methods:
- Analysis approach - the second paragraph should start with an inter connector to allow a flow between this paragraph and the previous one.

Are the rationale for, and objectives of, the Systematic Review clearly stated?
Yes

Are sufficient details of the methods and analysis provided to allow replication by others?
Yes

Is the statistical analysis and its interpretation appropriate?
Partly

Are the conclusions drawn adequately supported by the results presented in the review?
Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: sociology of health, sexual health in old age, social policy, help-seeking behaviour and mixed methods designs

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.
Dieudonne Uwizeye, University of Rwanda, Kigali, Rwanda

**Comment# 1**

**General:** The review addresses a relevant gap in evidence synthesis on research productivity within African higher education institutions. This review marshals critical factors at both individual and institutional levels and how these factors intersect to influence research productivity within the higher education context. There is a good sense of rigor in the review process and readers could see how the review was conducted following the established standard. However, a few editorial issues require the attention of the authors.

**Response:**
Thank you for the review. The indicated editorial issues and others were addressed in version two of the paper.

**Comment#2:**

**Editorial:**
There are editorial issues in some sections of the paper.

**Abstract:**
Check the sentence under the inclusion criteria II, and the concluding sentence under the conclusion.

**Introduction:**
*First paragraph needs some copy-editing.* There is also a need to look for a more appropriate term to replace: "university faculty members" in line 3 from the bottom of the paragraph.

*Second paragraph:* Reconsider the third sentence in this paragraph (e.g. Africa populations are huge, yet only few researchers are active and making impact. Evidence on numerical strength shows that only Morocco has more than 1000 researchers per one million inhabitants.)

*Third paragraph:* consider replacing the word "determine" in the sentence: "Therefore, there is an urgent need to determine...". (Consider: ...need to synthesize evidence on the factors...).

Also consider replacing the word study in the sentence that begins with: "The purpose of this study..." with a word like systematic review.

**Methods:**
Analysis approach - the second paragraph should start with an inter connector to allow a flow between this paragraph and the previous one.

**Response:**
All the editorial issues indicated above were considered and addressed in the revised version of the manuscript.

**Comment# 3:**

- Are the rationale for, and objectives of, the Systematic Review clearly stated?  
  - Yes
- Are sufficient details of the methods and analysis provided to allow replication by others?  
  - Yes
- Is the statistical analysis and its interpretation appropriate?
Partly
  ○ Are the conclusions drawn adequately supported by the results presented in the review?

Yes

Response:
Thank you. We appreciate the time and energy you offered to review our manuscript. The comments and observations you made are very valuable, and they helped us to produce the revised version of the paper.

For the question of whether the statistical analysis and its interpretation were appropriate, we want to explain that this was not appropriate.

Competing Interests: There are no competing interests associated with this paper.